D5BCM2204	Reg. No

# FIFTH SEMESTER B.Com. DEGREE EXAMINATION, NOVEMBER 2024 (Regular/Improvement/Supplementary)

Name: .....

#### **FINANCE**

#### GBCM5B10T: FINANCIAL MARKETS AND SERVICES

Time: 2 ½ Hours Maximum Marks: 80

## SECTION A: Answer the following questions. Each carries *two* marks. (Ceiling 25 marks)

- 1. What do you mean by financial instrument?
- 2. Who are the regulators of Indian financial system?
- 3. What are the components of financial market?
- 4. What is liquidity in finance?
- 5. List out any four objectives of money market.
- 6. What are the function of venture capital?
- 7. What is underwriting?
- 8. Comment on offer for sale.
- 9. Give an account on depository and Depository participants?
- 10. What are the two acid test functions of commercial banks?
- 11. What is Mudra Bank?
- 12. Comment on non-recourse forfaiting.
- 13. List out any four fund based financial services.
- 14. Write a note on SEBI SCORES.
- 15. Outline any two features of treasury bills.

## SECTION B: Answer the following questions. Each carries *five* marks. (Ceiling 35 marks)

- 16. Discuss the significance of a financial system of the country.
- 17. What are the advantages and disadvantages of money market?
- 18. Explain the two methods of pricing public issue with examples.
- 19. What are the functions of new issue market?
- 20. What are the main functions of SFCs of respective states?
- 21. What are the major functions of stock exchanges?
- 22. Explain the role of RBI as a lender of last resort.
- 23. What are the recent regulations of SEBI governing Initial Public Offer?

### SECTION C: Answer any two questions. Each carries ten marks.

- 24. What are the major money market instruments in India? List out the defects of Indian money market.
- 25. Who is a capital market intermediary? Briefly explain the role of major intermediaries in secondary market.
- 26. What is a commercial bank? What are the innovative functions of commercial banks in India?
- 27. Briefly explain the functions of RBI as a regulator of banking sector in India.