D5BCP2104	(PAGES 2)	Reg.No

## FIFTH SEMESTER UG DEGREE EXAMINATION, NOVEMBER 2023

### (Regular/Improvement/Supplementary)

		B.Com. Pro	ofessional		
	GBCP5B22T	: FINANCIAL M	IARKETS AND SERVIC	CES	
Time: 3 Hours			Maximum Marks: 80		
Choos	A: Answer all the question se the Correct Answer				
1.	Which of the following is a				
	A) Shares of listed company		B) Pension funds		
	C) National savings certificates		D) Insurance policies		
2.	2. Which of the following is a negotiable instrument?				
	A) Call money		B) Commercial pap	oer	
	C) Certificate of deposit		D) Repo		
3.	Citi Bank started merchant banking in the year.				
	A) 1960	B) 1967	C) 1970	D) 1975	
4.	NABARD was set up in the year.				
	A) 1965	B) 1974	C) 1982	D) 1990	
5.	Under factoring, the factor acts in the capacity of				
	A) An agent of his client	B) A Trustee	C) A holder for value	D) An administrator	
Fill in	the Blanks				
6.	The responsibility of	is to mo	bilise the savings in the for	rm of money and	
	monetary assets and invest	them in productiv	e ventures.		
7.	A well developed money market and a co exist.				
8.	In financial lease, bears the risk obsolescence.				
9.	In the implementation stage of a project, venture capital firms provide finance.				

# $(10 \times 1 = 10 \text{ Marks})$

Name: .....

### PART B: Answer any eight questions. Each carries two marks.

10. SEBI has power to grant approval to ----- of stock exchanges.

- 11. What is meant by debt market?
- 12. Mention any two credit rating agencies in India.
- 13. What are the objectives of SEBI?
- 14. Distinguish between discount market and acceptance market.
- 15. What is the main objective of IDBI?

- 16. What is index options?
- 17. Comment on cross border lease.
- 18. List out any two institutional underwriters in India.
- 19. What is meant by a treasury bill?
- 20. Enlist the features of SEBI.

 $(8 \times 2 = 16 \text{ Marks})$ 

### PART C: Answer any six questions. Each carries four marks.

- 21. What are the features of venture capital?
- 22. Discuss the objectives of financial services.
- 23. Write a note on leverage lease.
- 24. Explain the purposes of giving a call loan in India.
- 25. Elaborate the advantages of commercial papers.
- 26. Explain the classification of financial assets.
- 27. What are the functions of National Housing Bank?
- 28. Differentiate between factoring and forfeiting.

 $(6 \times 4 = 24 \text{ Marks})$ 

### PART D: Answer any two questions. Each carries fifteen marks.

- 29. Describe the trading procedure in stock exchanges.
- 30. Discuss the importance of mutual funds.
- 31. Explain the credit control weapons of RBI.

 $(2 \times 15 = 30 \text{ Marks})$