

**FIFTH SEMESTER UG DEGREE EXAMINATION, NOVEMBER 2022****(Regular/Improvement/Supplementary)****B.Com Professional****GBCP5B22T: FINANCIAL MARKETS AND SERVICES****Time: 3 Hours****Maximum Marks: 80****PART A: Answer all the questions. Each carries 1 mark.****Choose the Correct Answer**

1. Which of the following is a money market intermediary?  
A) Co-operative banks      B) LIC      C) EXIM Bank      D) GIC
2. A market for short term lending and borrowing is .....  
A) Money market      B) Capital market      C) Cash market      D) exchange traded market
3. The word "Factor" has been derived from a ..... word  
A) Latin      B) French      C) Italian      D) Spanish
4. A new company which has not completed 12 months commercial production has to issue shares only at .....  
A) Par      B) Premium      C) Discount      D) All of the above
5. Minimum number of shares per application has been fixed at ..... shares  
A) 200      B) 500      C) 700      D) 1000

**Fill in the Blanks**

6. Bill of exchange is an example of ..... security.
7. DFHI stands for .....
8. Minimum amount of a certificate of deposit is .....
9. Kolkata Stock Exchange was incorporated in the year .....
10. If a factor provides finance after disclosing the fact of assignment of debts to the debtors concerned, it is called .....

**(10 × 1 = 10 Marks)****(PTO)**

**PART B: Answer any *eight* questions. Each carries 2 marks.**

11. What is meant by exchange traded market?
12. Write a note on insurance services.
13. List out the non-marketable assets.
14. What is meant by a dealer paper?
15. Give a note on MCX.
16. Who is a depository participant?
17. What is sale and lease back?
18. Define mutual fund.
19. Enlist the objectives of SEBI.
20. What is monetary policy?

**(8 × 2 = 16 Mark)**

**PART C: Answer any *six* questions. Each carries 4 marks.**

21. What are the functions of a financial system?
22. Explain the operations in a treasury bill market.
23. Write a note on repo instruments.
24. Differentiate between commercial banks and merchant banks.
25. Give an account on pure growth funds.
26. Differentiate between financial lease and operating lease.
27. Explain various methods of venture capital financing.
28. Discuss the limitations of Indian stock market.

**(6 × 4 = 24 Marks)**

**PART D: Answer any *two* questions. Each carries 15 marks.**

29. Differentiate between forward and futures contract. In your opinion which one is more beneficial as a hedging instrument? Why?
30. Discuss the functions of NABARD.
31. Explain the SEBI guidelines for stock market.

**(2 × 15 = 30 Marks)**