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| QP CODE: D4BCM2401                                                              | (Pages: 2)                                                                                                                                                     | Reg. No :                | .....              |
|                                                                                 |                                                                                                                                                                | Name :                   | .....              |
| <b>FOURTH SEMESTER FYUGP EXAMINATION, APRIL 2026</b>                            |                                                                                                                                                                |                          |                    |
| <b>Discipline Specific Core (DSC) Courses - Major</b>                           |                                                                                                                                                                |                          |                    |
| <b>COM4CJ203 : CORPORATE REGULATIONS AND GOVERNANCE</b>                         |                                                                                                                                                                |                          |                    |
| <b>(Credits: 4)</b>                                                             |                                                                                                                                                                |                          |                    |
| <b>Time: 2 Hours</b>                                                            |                                                                                                                                                                | <b>Maximum Marks: 70</b> |                    |
| <b>Section A</b>                                                                |                                                                                                                                                                |                          |                    |
| <b>Answer the following questions. Each carries 3 marks (Ceiling: 24 marks)</b> |                                                                                                                                                                |                          |                    |
| 1.                                                                              | List the essential criteria for a company to be classified as a Small Company.                                                                                 | BL2                      | CO1                |
| 2.                                                                              | What is Veil of incorporation?                                                                                                                                 | BL2                      | CO1, CO2           |
| 3.                                                                              | What is SFIO?                                                                                                                                                  | BL1                      | CO1                |
| 4.                                                                              | State any three features of a share warrant.                                                                                                                   | BL1                      | CO2                |
| 5.                                                                              | Define prospectus.                                                                                                                                             | BL1                      | CO2                |
| 6.                                                                              | What is the Capital Clause of MOA?                                                                                                                             | BL2                      | CO2                |
| 7.                                                                              | Explain Doctrine of constructive notice                                                                                                                        | BL2                      | CO1                |
| 8.                                                                              | State the modes of removal of directors under the Companies Act, 2013.                                                                                         | BL2                      | CO1, CO2, CO3      |
| 9.                                                                              | List out the different kinds of company meetings.                                                                                                              | BL2                      | CO2                |
| 10.                                                                             | What do you mean by winding up of a company?                                                                                                                   | BL1                      | CO3                |
| <b>Section B</b>                                                                |                                                                                                                                                                |                          |                    |
| <b>Answer the following questions. Each carries 6 marks (Ceiling: 36 Marks)</b> |                                                                                                                                                                |                          |                    |
| 11.                                                                             | Analyse how the features of limited liability and common seal enable companies to attract shareholders.                                                        | BL4                      | CO1                |
| 12.                                                                             | Explain the meaning and types of share capital.                                                                                                                | BL2                      | CO2, CO3           |
| 13.                                                                             | Describe the procedure for rematerialization of shares.                                                                                                        | BL2                      | CO3                |
| 14.                                                                             | Explain the functions of promoters.                                                                                                                            | BL2                      | CO1                |
| 15.                                                                             | What is corporate governance? Explain its principles.                                                                                                          | BL2                      | CO1, CO2, CO3, CO4 |
| 16.                                                                             | Explain the nature of penalties imposed for continuing contravention of provisions relating to appointment of directors and DIN under the Companies Act, 2013. | BL3                      | CO1, CO2, CO3, CO4 |
| <b>(PTO)</b>                                                                    |                                                                                                                                                                |                          |                    |

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| 17.                                                                                                                  | Explain the different means of Voting in company's meeting.                           | BL2 | CO3 |
| 18.                                                                                                                  | Discuss the features of an ordinary resolution.                                       | BL2 | CO3 |
| <b>Section C</b>                                                                                                     |                                                                                       |     |     |
| <b>Answer any one question. Each carries 10 marks (1 x 10 = 10 Marks)</b>                                            |                                                                                       |     |     |
| 19.                                                                                                                  | Examine the procedure and legal provisions for alteration of Articles of Association. | BL4 | CO2 |
| 20.                                                                                                                  | Who is an official liquidator? How he is appointed? What are his powers and duties?   | BL1 | CO3 |
| <b>CO : Course Outcome</b>                                                                                           |                                                                                       |     |     |
| <b>BL : Bloom's Taxonomy Levels</b> (1 – Remember, 2 – Understand, 3 – Apply, 4 – Analyse, 5 – Evaluate, 6 – Create) |                                                                                       |     |     |