

**THIRD SEMESTER UG DEGREE EXAMINATION, NOVEMBER 2023****(Regular/Improvement/Supplementary****BBA HONOURS****GBAH3B12T: FINANCIAL MARKETS AND SERVICES****Time: 3 Hours****Maximum Marks: 80****PART A: Answer the *all* questions. Each carries *one* mark.****Choose the Correct Answer.**

1. Which of the following is not a market regulator?  
A) RBI                      B) BSE                      C) IRDA                      D) SEBI
2. Which among the following is an example of a stock index?  
A) NIFTY30                B) NIFTY50                C) SENSITIVITY            D) ZENTEX
3. Base year index of BSE is;  
A) 1985                      B)1987                      C)1989                      D)1990
4. The rate at which RBI lends short term advances to commercial banks is called;  
A) Reverse Repo        B) SLR                      C) Repo                      D) CRR
5. What is NPA?  
A) Net Performing Assets.                      B) Net Present Assets.  
B) C) Non Performing Assets.                D) National Performing Assets.

**Fill in the Blanks.**

6. ....refers to the company's shares being on the list (or board) of stock that are officially traded on a stock exchange.
7. The index of BSE is called.....
8. .... refers to the percentage of cash required to be kept in reserves, from the total time and demand deposits of a bank.
9. The credit worthiness of an individual/company can be identified through .....
10. ETF stands for .....

**(10 x 1 = 10 Marks)****PART B: Answer any *eight* questions. Each carries *two* marks.**

11. What are Financial Instruments?
12. Mention any two functions of a Financial System.
13. Define Dematerialization?
14. Who is a stock broker?

**(PTO)**

15. Define E-Banking.
16. Differentiate between Time and Demand Deposits.
17. Briefly explain Credit Cards.
18. What is Core Banking?
19. Define Factoring.
20. What is Systematic Risk?

**(8 x 2 = 16 Marks)**

**PART C: Answer any *six* questions. Each carries *four* marks.**

21. What are the salient features of Indian Economy?
22. Illustrate the process of ASBA.
23. Differentiate between RTGS and NEFT.
24. Explain the methods of risk management in Capital Market.
25. What do you mean by discounting a bill of exchange?
26. Differentiate between Repo and Bank Rate.
27. What is leasing? Explain the different types of Leasing.
28. Briefly explain the different types of Mutual Funds.

**(6 x 4 = 24 Marks)**

**PART D: Answer any *two* questions. Each carries *fifteen* marks.**

29. Who is a lead manager? Explain the duties and functions of a lead manager.
30. What are BASEL norms? Explain the relevance of BASEL accords in safeguarding the banking sector in India.
31. Compare and contrast different types of insurance.

**(2 x 15 = 30 Marks)**