

FIRST SEMESTER FYUGP EXAMINATION NOVEMBER 2025**Improvement/Supplementary (2024 Admissions)****MINOR****COM1MN105: BASICS OF FINANCIAL MARKETS****Time: 2 Hrs.****Maximum Marks: 70**

M: Mark

BL: Bloom's Taxonomy Level (1 to 6)

CO: Course Outcome

Section A: Answer all questions. Each carries 3 marks. Ceiling: 24 Marks				
No.	Question	M	BL	CO
1.	Explain banking institutions.	3	2	CO1
2.	What are the advantages of financial system?	3	2	CO1
3.	Differentiate between capital market and money market.	3	2	CO1
4.	What is an indigenous bank?	3	2	CO1
5.	Comment on money market.	3	2	CO1
6.	Who is an underwriter ?	3	2	CO1
7.	What do you mean by mutual funds?	3	2	CO1
8.	Comment on listing.	3	2	CO1
9.	Enlist the requirements for the recognition of stock exchange.	3	2	CO1
10.	What is CDSL?	3	2	CO1
Section B: Answer all questions. Each carries 6 marks. Ceiling: 36 Marks				
No.	Question	M	BL	CO
11.	Consider yourself a financial analyst tasked with evaluating the role of financial markets in the economy. What factors you will consider in evaluating the role?	6	5	CO1
12.	Analyze the powers and functions of the Insurance Regulatory and Development Authority of India (IRDAI) in enhancing the stability and transparency of the insurance sector.	6	4	CO1 CO2
13.	Evaluate the powers and functions of the Telecom Regulatory Authority of India (TRAI) in promoting fair competition and protecting consumer interests in the telecommunications sector.	6	5	CO2
14.	Explain the advantages and disadvantages of raising capital in public markets compared to private markets for emerging companies.	6	2	CO1
15.	Analyze the role of Qualified Institutional Buyers in capital market.	6	4	CO1
16.	Analyze how the primary market can provide liquidity to investors and companies alike.	6	4	CO1 CO2
17.	What is ASBA? What is its eligibility criteria?	6	2	CO1
18.	Discuss on the role of NSE in the economy.	6	5	CO1
Section C: Answer any one question. Each carries 10 marks. (1 x 10 = 10 marks)				
No.	Question	M	BL	CO
19.	Briefly analyze the defects of Indian money market.	10	4	CO1 CO2
20.	Differentiate between primary and secondary market.	10	2	CO1