D1BCP2502

Name: ....

Reg. No.: ....

FIRST SEMESTER FYUGP EXAMINATION NOVEMBER 2025

(Regular/Improvement/Supplementary)
MULTI DISCIPLINARY COURSE
COP1FM105 (2) : STOCK MARKET FUNDAMENTALS

Time: 1 ½ Hrs. **Maximum Marks: 50**

M – Mark BL - Bloom's Taxonomy Level (1 to 6) CO - Course Outcome

No.	Question	M	BL	CO
	Section A: Answer all questions. Each carries 2 marks. Ceiling: 16 Marks			
1.	What is a Power of Attorney (PoA) in stock trading and why is it needed? Discuss its implications.	2	1	CO1
2.	Describe three essential accounts required to invest in the stock market.	2	2	CO1
3.	Define online trading in the stock market.	2	1	CO1
4.	What is derivative trading, and what are the two main types of derivatives?	2	1	CO1
5.	What are derivatives in stock trading? Give an example.	2	2	CO1
6.	What is the difference between a mutual fund and a direct equity investment?	2	3	CO1
7.	Define "volume" in the context of stock trading.	2	1	CO1
8.	Explain the meaning of LTP in the stock market.	2	2	CO1
9.	What is technical analysis in the stock market?	2	1	CO2
10.	What is a lump sum investment in mutual funds?	2	1	CO3
	Section B: Answer all questions. Each carries 6 marks. Ceiling: 24 Marks			
No.	**Question**	**M**	**BL**	**CO**
11.	What is CKYC (Central Know Your Customer)? Discuss its importance in the Indian financial system and how it streamlines the account opening process across multiple financial institutions.	6	2	CO1
12.	Describe the process of settlement in the stock market. How does it impact the completion of a trade?	6	4	CO1
13.	Explain the process of EIC analysis, focusing on the three stages: economy, industry, and company analysis.	6	4	CO2
14.	Discuss the importance of moving averages in technical analysis and how they help traders make decisions.	6	4	CO2
15.	How does the dividend option in mutual funds differ from the growth option? Which option might be better for long-term wealth creation?	6	3	CO3
	Section C: Answer any one question. Each carries 10 marks. (1 x 10 = 10 Marks)			
No.	**Question**	**M**	**BL**	**CO**
16.	Evaluate the IPO process, from filing the prospectus to the listing of shares on a stock exchange. Discuss the role of different participants, various modes of pricing, and the application methods.	10	5	CO1 CO2
17.	Analyze the structure and working of mutual funds, focusing on the roles of key participants such as fund managers, trustees, and investors. Evaluate how fund managers make investment decisions, the oversight role of trustees in protecting investor interests, and the active participation of investors. Additionally, explore the various types of mutual funds categorized by their structure, objectives, and investment.	10	4	CO3