QP CODE: D1BCM2406

Name: Reg.No.:

FIRST SEMESTER FYUGP EXAMINATION NOVEMBER 2024

MDC

COM1FM105 (2) STOCK MARKET FUNDAMENTALS

Time : 1 Hrs 30 Mins

Maximum Marks : 50

BL - Bloom's Taxonomy Level (1 to 6)

CO - Course Outcome

| | Section A Ceiling Marks : 16 | | | |
|---|--|----|----|-----|
| | Answer all questions. Each carries 2 marks. | | | |
| No. | Question | Μ | BL | CO |
| 1. | Define the stock market and explain its primary function. | 2 | 1 | CO1 |
| 2. | Outline the basic steps involved in opening a Demat and trading account. | 2 | 2 | CO1 |
| 3. | Who are the major participants in the stock market? | 2 | 2 | CO1 |
| 4. | Explain the difference between a Demat account and a trading account. | 2 | 3 | CO1 |
| 5. | Explain the process of applying for an IPO using ASBA. | 2 | 2 | CO2 |
| 6. | What is the difference between a fixed-price issue and a book-built issue? | 2 | 1 | CO2 |
| 7. | Explain the meaning of LTP in the stock market. | 2 | 2 | CO1 |
| 8. | What is the secondary market in the stock market? | 2 | 1 | CO1 |
| 9. | Define the term "volume" in the context of technical analysis. | 2 | 2 | CO2 |
| 10. | What is SIP (Systematic Investment Plan) in mutual fund investing? | 2 | 1 | CO3 |
| Section B Ceiling Marks : 24 | | | | |
| Answer all questions. Each question carries 6 marks. | | | | |
| No. | Question | Μ | BL | CO |
| 11. | Discuss the process of allotment in IPOs and the factors that affect the allocation of shares to investors. | 6 | 4 | CO2 |
| 12. | What is the importance of volume in analyzing stock market movements? | 6 | 2 | CO1 |
| 13. | Explain the significance of promoters' shareholding and the implications of pledging shares on stock performance. | 6 | 3 | CO2 |
| 14. | Discuss the different types of technical indicators and how they are used by traders to assess market trends. | 6 | 3 | CO2 |
| 15. | Analyze the components of a mutual fund fact sheet and explain how investors can use it to make informed investment decisions. | 6 | 4 | CO3 |
| Section C | | | | |
| Answer any 1 question. Each carries 10 marks. (1X10=10 Marks) | | | | |
| No. | Question | Μ | BL | CO |
| 16. | Discuss the different types of stock market trading strategies. Highlight the key characteristics of each, the types of investors who typically engage in these strategies, and the risks and rewards associated with each approach. | 10 | 5 | CO1 |
| 17. | Compare and contrast the different types of mutual funds based on their structure, objectives, and investment styles. Discuss the advantages and disadvantages of each type and how they cater to different investor needs. | 10 | 4 | CO3 |