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		Reg.No

# FIRST SEMESTER M. Com DEGREE EXAMINATION, NOVEMBER 2022

(Regular/Improvement/Supplementary)

### **COMMERCE**

### FMCM1C02-CORPORATE GOVERNANCE & BUSINESS ETHICS

Time: 3 Hours Maximum Weigthage: 30

# Part A: Answer any four questions. Each carries two weightage.

- 1. What are the four pillars of corporate governance?
- 2. Write a short note on secretarial audit.
- 3. Define investors activism.
- 4. What is Sarbanes-Oxley Act?
- 5. Define knowledge management.
- 6. Who are the key managerial personnel and list out their major duties?
- 7. What are the determinants of Business Ethics?

 $(4 \times 2 = 8 \text{ weightage})$ 

# Part B: Answer any four questions. Each carries three weightage.

- 8. What is whistle blowing? What are the various types of whistle blowing?
- 9. Explain about NCLT. What are its functions?
- 10. State the Corporate Governance principles. Give a brief description.
- 11. Briefly explain any four theories of Corporate Governance.
- 12. What are the major recommendations of Kumara Mangalam Birla committee with regard to Corporate Governance?
- 13. Describe about the provisions included in the Director's service statement.
- 14. Who is an Independent Director? When does a person qualified for an independent directorship? Explain.

 $(4 \times 3=12 \text{ weightage})$ 

# Part C: Answer any two questions. Each carries five weightage.

- 15. What is Corporate Governance? Discuss some cases of corporate mis-governance in India and the World.
- 16. Explain about Clause 49 of the listing agreement by SEBI.
- 17. Briefly explain the concept of Corporate Excellence and how it is to be achieved through Corporate Governance.
- 18. "Inorder to improve the effectiveness and efficiency of the board, various Committees are created". Explain about major Board Committees.

 $(2 \times 5 = 10 \text{ weightage})$